

# CSE525 Lec2 2

## Approximation algo



Debajyoti Bera (M21)

# Non-decision problems

For NP-completeness, need decision problems.

Problems that are not decision problems can be ...

- Function problems (Find a colouring of a graph using at most 3 colours)
- Counting problems (Count the number of 3-colourings of a graph)
- Optimization problems (Optimize the number of colours needed to colour a graph)

How to deal with optimisation versions of NP-complete decision problems?

- algorithms are probabilistic,  $A(x) \rightarrow$  random, correct w.p.  $\geq 99\%$   
randomised algorithms
- algorithms return slightly inaccurate results } approximation algorithms

# Approximation Ratio

APPROX = solution of approximate algorithm, OPT = optimal solution

Approx. algo is r-relative if ...

*r-approx algo.*

*2-approx. algorithm for knapsack*

*$APPROX \in [\frac{OPT}{2}, \dots, OPT]$*

- Maximization problem:
- Minimization problem:

$\frac{OPT}{r} \leq APPROX \leq OPT \equiv$

*$APPROX \leq OPT \leq r \cdot APPROX$*

$OPT \leq APPROX \leq r \cdot OPT \equiv$

*$\frac{APPROX}{2} \leq OPT \leq APPROX$*

Approx. algo <sup>is</sup> ~~has~~ r-absolute if ...

- Maximization problem:
- Minimization problem:

$OPT - r \leq APPROX \leq OPT$

$OPT \leq APPROX \leq OPT + r$

*$APPROX_{VC} \leq 2 * OPT$*

*$\rightarrow APPROX \leq f(\#edges)$*

*$\rightarrow OPT \geq f(\#edges)$*

# Minimum Vertex Cover

Given  $G$ , find a vertex cover with the smallest size.

$$\text{OPTVC} \leq \text{ApproxVC} \leq 2 \times \text{OPTVC}$$

$\rightarrow 2^{\text{(rel)}}$  approx.  $E' = \text{set of edge chosen in } (2)$   
 Edges in  $E'$  do not share a vertex

Size of any VC  $\geq |E'|$



1. Pick an edge  $(u,v)$
2. Add **one of  $u$  or  $v$**  to VC [based on some criteria]
3. Remove adjacent edges from added node
4. Goto 1.  $O(E \cdot V)$

1. Pick an edge  $(u,v)$
2. Add **both  $u$  &  $v$**  to VC
3. Remove all adjacent edges from  $u$  &  $v$
4. Goto 1.  $O(EV)$



Q: Consider 2nd Algo and denote <sup>the size of</sup> its output as ApproxVC.. Show that in OptVC (optimum vertex cover) at least  $\text{ApproxVC}/2$  vertices must be present.

Algorithmic obs.  $\text{ApproxVC} = 2 \times |E'|$

Q: Does ApproxVC return a VC? What is its (relative) approximation ratio?

Trivial obs.  $\rightarrow \text{OPTVC} \geq |E'|$

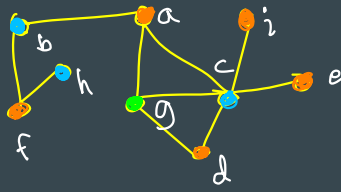
Fact: VC can be approximated but not to a high quality.

Graph theoretic  $\Rightarrow \text{ApproxVC} \leq 2 \times \text{OPTVC}$

- Dinur Safra 2002: VC cannot be approximated to any constant  $\leq 1.36$
- Khot Regev 2003: VC cannot\* be approximated to any constant  $\leq 2$  !!!



# Chromatic number (CHR)



greedy

Chromatic number of  $G$  = optimal number of colours

Polynomial-time algorithm is unlikely.

What about polynomial-time algorithms returning “ad-hoc” solutions?

Greedy Algorithm ( $G$ ): Colour greedily all vertices in some order. Number of colours?  
*Col 1 Col 2 ... Col n*  
 *$v_1$ : use col 1. for every vertex  $v_2, v_3, \dots, v_n$ , colour  $v_i$  using the smallest possible colour*

Trivial Algorithm ( $G$ ): Colour every vertex using different colour. Number of colours?

$|V|$

Which algorithm is better? How to evaluate quality?

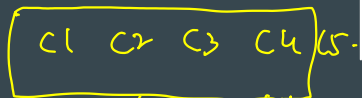
# Greedy Algorithm for CHR

Claim:  $col(v_i) \leq col_k$



$c_1, c_2, \dots, c_k$

→ at most  $c_1 \dots c_{k-1}$  have been used.  
 $\therefore c_k$  is a valid colour for  $v_k$



at least one will not be used by vertex in  $N$ .

Claim:  $col(v_i) \leq \overset{col}{deg}(v_i) + 1$

$N$  = neighbours of  $v_i$

 $|N| = deg(v_i)$ 

At most  $|N|$  colours are used to colour  $N$ .

$\therefore (N+1)$  is a valid colour for  $v_i$ .

Greedy(G):

Order vertices in any order:  $v_1, v_2, \dots$

Greedy assign colours from  $[c_1, c_2, \dots]$  to every  $v_i$  in that order (ensure no conflict)

What can be said about output of Greedy(G)?

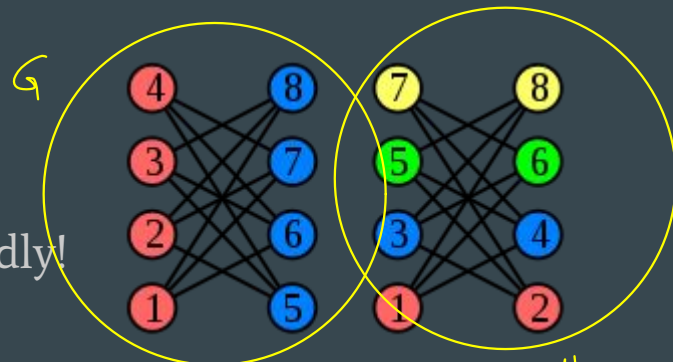
Q: Show that colour of  $v_i \leq \min\{deg(v_i)+1, i\}$

Q: Give an upper bound on the number of colours?

$n$  vertex,  $\Delta$ : max degree  $\Delta+1$

Q: Show a graph and ordering for which this performs badly!

Q: Form a strategy (ordering) to colour using few colours.



100 50 17 8 6 4 3 3 2 2 1 1 1 1 CHR(G)  $\leq 2$

Greedy(G) = 4  $\therefore$  Greedy cannot be any  $r < 2$ .

Ex. Extend this example to any constant  $r$ .  
 image: wikipedia.com  
 $r$ -relative approx. for

# 1-absolute CHR is not easy

if  $CHR(G) \leq k$  :  $k \leq CHRAlgo(G) \leq k+1$   
 if  $CHR(G) > k+1$  :  $k+1 \leq CHRAlgo(G)$

cannot decide / def IsCHR(G,k) :  
 create H in the manner below

Polytime CHRAlgo(G) : Output either  $CHR(G)$  or  $CHR(G)+1$  //  $CHR(H) = 2 * CHR(G)$

Q: Reduce **IsCHR** to CHRAlgo

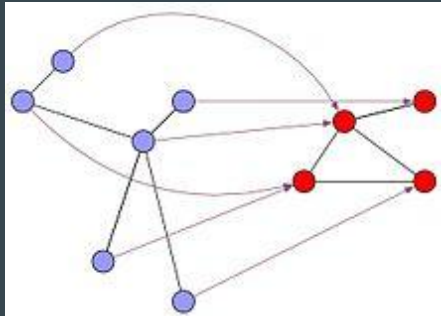
IsCHR(G,k) = "Is chrom. num.  $\leq k$ ?"  
 $r \leftarrow CHRAlgo(H)$

NP complete

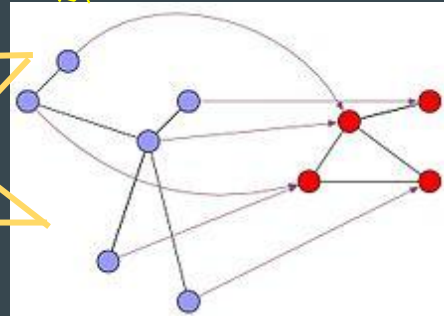
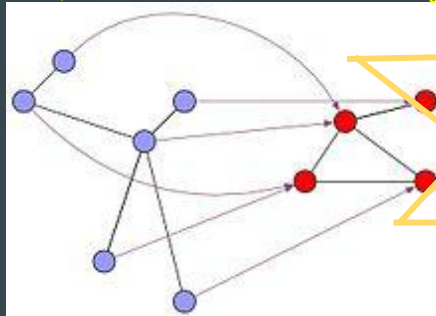
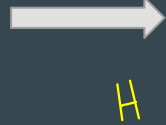
IsCHR(G,k): Decide which case is true?

(a)  $CHR(G) \leq k$  OR  $CHR(G) \geq k+1$  (b)

$r = CHR(H)$  or  $CHR(H)+1$   
 $= 2 * CHR(G)$  or  $2 * CHR(G)+1$



$r \leq CHR(H)+1$   
 $r \leq 2k+1$



if  $r \leq 2k+1$  output yes  
 else:  $r \geq 2k+2$  output no.

$CHR(G) \leq k$  OR  $CHR(G) \geq k+1$  IFF  $CHR(G') \leq ???$  OR  $CHR(G') \geq ???$

Extend: r-absolute appr. is not possible for any constant r.

IFF  $CHRAlgo(G') \leq ??$  OR  $CHRAlgo(G') \geq ??$